

Clinical Audit Policy & Strategy

Chris Swonnell,
Assistant Director for Audit & Assurance,
University Hospitals Bristol NHS Foundation Trust.
General Secretary, National Audit Governance Group.



Mind Gym (easy)

21	Divide by 7	Double it	Multiply by itself	Halve it
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Divide by 9	x 47	-52	1/7 of this	x8
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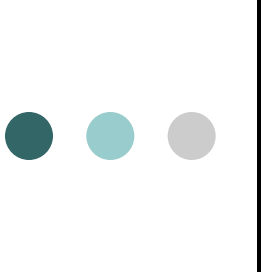


Mind Gym (easy)

21	Divide by 7	Double it	Multiply by itself	Halve it
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Divide by 9	x 47	-52	1/7 of this	x8
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= 48



Other members of the product partnership

- Ann Burnett, Clinical Effectiveness Manager, South Devon Healthcare NHS Foundation Trust; & Chair of the South West Audit Network
- Julie Jones, Clinical Audit Facilitator, Basingstoke & North Hampshire NHS Foundation Trust
- Nicola Porter, Guidelines & Audit Manager, Department of Health, Social Services & Public Safety for Northern Ireland – Guidelines & Audit Implementation Network; & Finance Officer of the National Audit Governance Group
- Tracey Rich, Clinical Audit Facilitator, Hampshire Primary Care Trust
- David Wood, Clinical Governance Manager, Cheshire & Wirrall Partnership Foundation NHS Trust



Product overview

- Underpinning principle that the two documents need to be developed together
- Product development based on:
 - Knowledge of the partnership members
 - Review of existing NHS documentation
 - Feedback from consultation
- Content applicable to provider and commissioning organisations
- Inevitable product overlap



Purpose of this session

- To outline the product development process to date
- To share and discuss proposed content for policy and strategy templates

Please note: the draft products can be viewed in the Marketplace



Context: the strategic contribution of clinical audit

For example...

- Using clinical audit standards to define and measure service quality
- Providing assurances of effectiveness and safety
- Stimulating service improvement
- Feeding Board Quality Reports
- Providing evidence for CQUIN payments



Relationship between Policy and Strategy

- A Clinical Audit **Policy** should exist to support and underpin an organisation's **vision for development** set out in the Clinical Audit **Strategy**.
- The strategy is a series of **objectives** articulated in an **operational plan**
- The policy provides the **'rules'** of the game



Where would you put these?

- Roles and responsibilities
- Data protection expectations
- Definition of clinical audit
- Service objectives



Policy

Should describe/include:

- The organisation's values and beliefs about clinical audit
- A working definition of clinical audit
- A best practice framework: how this organisation practices/does clinical audit; its systems and processes
- Expectations for good governance



Strategy

Should:

- Be a time-limited document, i.e. covering a period of one or more years
- Connect clinical audit with an organisation's governance and assurance systems, and its corporate objectives
- Provide a medium-to-long term vision for the development of clinical audit
- Set out a number of 'SMART' service objectives for the period covered by the Strategy



Mind Gym (intermediate)

7	x9	Double it	-81	Divide by 15
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x12	Double it	2/9 of this	Triple it	+77
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Mind Gym (intermediate)

7	x9	Double it	-81	Divide by 15
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x12	Double it	2/9 of this	Triple it	+77
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Policy

Suggested section content:

- National policy context
- Purpose of the policy
- Definition of Clinical Audit
- Scope
- Roles and responsibilities
- Commitment to stakeholder engagement, collaboration and partnership
- Choosing topics and planning projects
- Governance
- Training and development
- Reporting & Dissemination of Results
- Action Plans & Improvement



National policy context

- Key publications for provider and commissioner services



Purpose of the policy

- Explain what the purpose of the policy is (as opposed to the purpose of *clinical audit*)



Definition of Clinical Audit

- Rooted in nationally agreed definitions
- May need to be adjusted to reflect local arrangements (moot point?)



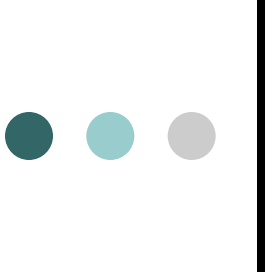
Scope

- The audience for this policy



Roles and responsibilities

- Executive leadership?
- Responsible committees?
- Operational management?



Commitment to stakeholder engagement, collaboration and partnership

- Patients & Public, FT Members
- Multi-professional working
- Managers
- Medical Students
- Working with Commissioners / Providers
- Interface working
- Commissioners: reinforcing expectations for how providers should be working



Choosing topics and planning projects

- Committing to an annual programme of activity
- Explaining how the programme will be agreed and how local projects will be prioritised
- Commissioners: process for determining key content in provider programmes



Governance

- How projects are registered and approved
- Approach / expectations re. use of standards
- Information Governance
- Ethics and Consent
- Equality & Diversity
- Monitoring performance of the programme
- Commissioners: how *they* will monitor provider performance



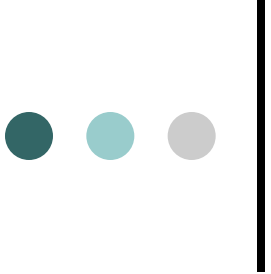
Training and development

- Commitment to training healthcare staff
- How the training will be provided
- Commitment to ongoing development of clinical audit staff
- Commissioners: may need to consider whether independent contractors and 'Third Sector' organisations need training in clinical audit skills



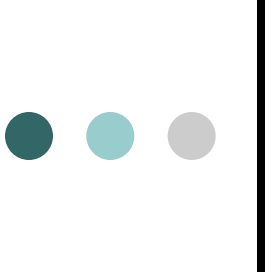
Reporting & Dissemination of Results

- How will project results be reported and disseminated?
- How will the programme as a whole be reported?



Action Plans & Improvement

- Expectations for the action plans, e.g. format, monitoring
- Re-audit

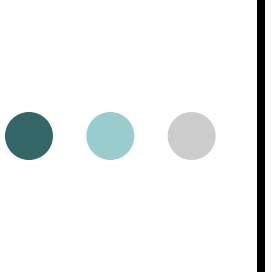


Mind Gym (Advanced)

108	Divided by 9	X by itself	Triple it	$\frac{3}{4}$ of this
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-144	70% of this	Divided by 9	x11	Double it
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Mind Gym (Advanced)

108	Divided by 9	X by itself	Triple it	$\frac{3}{4}$ of this
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-144	70% of this	Divided by 9	x11	Double it
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Strategy

Section content:

- Executive statement
- Organisational 'fit'
- Scope
- Definition of Clinical Audit
- Strategic Aim
- Objectives
- Operational Plan
- (Clinical Audit Programme)



Executive statement

- A Board-level statement of commitment to the process of clinical audit



Organisational 'fit'

- Explaining how clinical audit activity integrates with other governance / assurance / improvement activities



Scope

- The audience for this strategy



Definition of Clinical Audit

- (As per policy)



Strategic Aim

- (Suggest) a single statement of intention

e.g. “The aim of this Strategy is to deliver demonstrable improvements in patient care through the development and measurement of evidence-based practice”



Objectives

- Key service objectives for the period of the Strategy
- Commissioners will seek to ensure that the aims and objectives of provider organisations reflect their own expectations



Examples of Objectives

- To overcome barriers to healthcare staff participating in clinical audit
- To develop a partnership approach to clinical audit
- To establish a robust system for reporting the outcomes of clinical audit activity
- To ensure that staff have the necessary competency, support and time to participate in clinical audit
- To ensure that the Trust is fully compliant with the requirements of the National Clinical Audit & Patient Outcomes Programme
- To link clinical audit to appraisal and revalidation
- To ensure organisational compliance with Healthcare Standard C5d (Clinical Audit)
- To demonstrate the benefits of clinical audit



Operational Plan

- A ‘SMART’ action plan saying exactly what is going to be done by whom and when to deliver each objective.
- Commissioning organisations wouldn’t have an operational plan, but might expect evidence of a plan, and consultation in determining the content



Clinical Audit Programme

- *Might* include the actual Clinical Audit Programme as an Appendix (moot point)



Questions?